

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U27100MH1980PTC022322
2	Registration Date	11-03-1980
3	Name of the Company	ASSOCIATED SMELTERS PRIVATE LIMITED
4	Category/Sub-category of the Company	Company limited by shares Indian Non-Government Company
5	Address of the Registered office & contact details	W-60/N 7 TTC-M I D C AREATHANE BELAPUR ROAD, RABALE, MUMBAI, MAHARASHTRA-400701, INDIA
6	Whether listed company	Unlisted
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of basic chemical elements	20116	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1					

IV. SHARE HOLDING PATTERN
(Equity share capital breakup as percentage of total equity)
(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2017]				No. of Shares held at the end of the year [As on 31-March-2018]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	76,778	76,778	90.25%	-	79,690	79,690	93.67%	3.42%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (1)	-	76,778	76,778	90.25%	-	79,690	79,690	93.67%	3.42%
(2) Foreign									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
TOTAL (A)	-	76,778	76,778	90.25%	-	79,690	79,690	93.67%	3.42%
B. Public									
1. Institutions									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%

Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
2. Non-Institutions									
a) Bodies Corp.	-	-	-		-	-	-		
i) Indian	-	-	-	0.00%	-	-	-	0.00%	0.00%
ii) Overseas	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals	-	-	-		-	-	-		
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	8,297	8,297	9.75%	-	5,385	5,385	6.33%	3.42%
	-	-			-	-			
	-	-			-	-			
	-	-			-	-			
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	0.00%	-	-	-	0.00%	0.00%
	-	-			-	-			
	-	-			-	-			
	-	-			-	-			
c) Others (specify)	-	-	-		-	-	-		
Non Resident Indians	-	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	0.00%	0.00%
	-	-			-	-			
Foreign Nationals	-	-	-	0.00%	-	-	-	0.00%	0.00%
Clearing Members	-	-	-	0.00%	-	-	-	0.00%	0.00%
Trusts	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Bodies - D R	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(2):-	-	8,297	8,297	9.75%	-	5,385	5,385	6.33%	3.42%
Total Public (B)	-	8,297	8,297	9.75%	-	5,385	5,385	6.33%	3.42%
C. Shares held by Custodian for GDRs & ADRs	-	-	-	0.00%	-	-	-	0.00%	0.00%
Grand Total (A+B+C)	-	85,075	85,075	100.00%	-	85,075	85,075	100.00%	3.42%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year	Shareholding at the end of the year	% change in shareholding
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		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	during the year
1	JAYPRAKASH BHASKARRAO TIPNIS	56,993	66.99%	0	59,905	70.41%	0	3.42%
2	RASHMI JAYPRAKASH TIPNIS	19,785	23.26%	0	19,785	23.26%	0	0.00%

(iii)(a) Change in Promoters' Shareholding (please specify,

JAYPRAKASH BHASKARRAO TIPNIS

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year	01-04-2017		56,993	66.99%	56,993	66.99%
	Changes during the year	09-01-2018	Transfer	2,912	3.42%	2,912	3.42%
	At the end of the year	31-03-2018		59,905	70.41%	59,905	70.41%

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Sagar Jayprakash Tipnis						
	At the beginning of the year	01-04-2017		500	0.59%	500	0.59%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		500	0.59%	500	0.59%
2	Madhavi S Tipnis						
	At the beginning of the year	01-04-2017		700	0.82%	700	0.82%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		700	0.82%	700	0.82%
3	Tanmay J Tipnis						
	At the beginning of the year	01-04-2017		500	0.59%	500	0.59%

	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		500	0.59%	500	0.59%
4	Prakash B Tipnis						
	At the beginning of the year	01-04-2017		2,640	3.10%	2,640	3.10%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		2,640	3.10%	2,640	3.10%
5	Anjali P Tipnis						
	At the beginning of the year	01-04-2017		100	0.12%	100	0.12%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		100	0.12%	100	0.12%
6	Chhaya P Korde						
	At the beginning of the year	01-04-2017		250	0.29%	250	0.29%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		250	0.29%	250	0.29%
7	Sandhya D Karnik						
	At the beginning of the year	01-04-2017		100	0.12%	100	0.12%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		100	0.12%	100	0.12%
8	Arun B Mokashi						
	At the beginning of the year	01-04-2017		25	0.03%	25	0.03%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		25	0.03%	25	0.03%
9	Vikas B Gupte						
	At the beginning of the year	01-04-2017		100	0.12%	100	0.12%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		100	0.12%	100	0.12%
10	Sachchidana B Bagwe						

	At the beginning of the year	01-04-2017		470	0.55%	470	0.55%
	Changes during the year				0.00%		0.00%
	At the end of the year	31-03-2018		470	0.55%	470	0.55%
11	Anant Shankar Loke						
	At the beginning of the year	01-04-2017		100	0.12%	100	0.12%
	Changes during the year	09-01-2018	Transfer	100	0.12%	100	0.12%
	At the end of the year	31-03-2018		-	0.00%	-	0.00%
12	Jitendra H Sarang						
	At the beginning of the year	01-04-2017		2,612	3.07%	2,612	3.07%
	Changes during the year	09-01-2018	Transfer	2,612	3.07%	2,612	3.07%
	At the end of the year	31-03-2018		-	0.00%	-	0.00%
13	Shekhar H Sarang						
	At the beginning of the year	01-04-2017		100	0.12%	100	0.12%
	Changes during the year	09-01-2018	Transfer	100	0.12%	100	0.12%
	At the end of the year	31-03-2018		-	0.00%	-	0.00%
14	Milind G Dixit						
	At the beginning of the year	01-04-2017		100	0.12%	100	0.12%
	Changes during the year	09-01-2018	Transfer	100	0.12%	100	0.12%
	At the end of the year	31-03-2018		-	0.00%	-	0.00%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	JAYPRAKASH BHASKARRAO TIPNIS						
	At the beginning of the year	01-04-2017		56,993	66.99%	56,993	66.99%
	Changes during the year	09-01-2018	Transfer	2,912	3.42%	2,912	3.42%
	At the end of the year	31-03-2018		59,905	70.41%	59,905	70.41%

2	RASHMI JAYPRAKASH TIPNIS						
	At the beginning of the year	01-04-2017		19,785	23.26%	19,785	23.26%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2018		19,785	23.26%	19,785	23.26%

3	SAGAR JAYPRAKASH TIPNIS						
	At the beginning of the year	01-04-2017		500	0.58%	500	0.58%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2018		500	0.58%	500	0.58%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year				
* Addition	-	-	-	-
* Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Name		
		Designation	Managing Director	360000
1	Gross salary			-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission			-
	- as % of profit			-
	- others, specify			-
5	Others, please specify			-
		Total (A)	-	360000
		Ceiling as per the Act		

B. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Name		
		Designation	Director	587500
1	Gross salary			-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission			-
	- as % of profit			-

	- others, specify			-
5	Others, please specify			-
		Total (A)	-	587500
		Ceiling as per the Act		

C. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs/Lac)
1	Independent Directors				
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors				-
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	-	-	-	-
	Total Managerial Remuneration				-
	Overall Ceiling as per the Act				

D. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
		Name			(Rs/Lac)
		Designation	CEO	CFO	CS
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				-
2	Stock Option				-

3	Sweat Equity				-
4	Commission				-
	- as % of profit				-
	- others, specify				-
5	Others, please specify				-
	Total	-	-	-	-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Penalty					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

On the behalf of Board of Director
Associated Smelters Private Limited

25th August, 2018
 Thane


Jayprakash Baskarrao Tipnis
 Managing Director
 DIN: 01811156


Rashmi Jayprakash Tipnis
 Director
 DIN: 02559878